



44

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT: Axel Schultze  
SERIAL NO.: 09/514,997  
FILING DATE: February 25, 2000  
TITLE: Automatic Lead Distribution And  
Processing Confirmation System And Method  
EXAMINER: Not Yet Assigned  
GROUP ART UNIT: 2771  
ATTY. DKT. NO.: 4705 US

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on the date shown below:

Dated: 2 Aug 2000

By: John T. McNelis  
John T. McNelis, Reg. No.: 37,186

COMMISSIONER FOR PATENTS  
OFFICE OF INITIAL PATENT EXAMINATION  
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WASHINGTON, DC. 20231

**REQUEST CORRECTED FILING RECEIPT**

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

The Applicant's name is erroneously spelled Axel Schulze. The correct spelling of the Applicant's name is Axel Schultze as evidenced by the executed Declaration, and the first page of the specification as originally filed, copies of which are enclosed.


Please issue a corrected Filing Receipt rectifying this error.

The correction is not due to any error by the Applicant and therefore no fee is due.

Respectfully submitted,

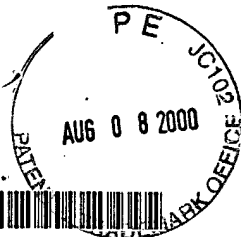
AXEL SCHULTZE

Dated: 2 Aug 2000

By:   
John T. McNelis, Reg. No.: 37,186  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7133  
Fax.: (650) 494-1417

**COPY****FILING RECEIPT**

\*OC000000005235131\*

**UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/514,997	02/25/2000	2771	820 ✓	4705US	10	8	1

John T McNelis Esq  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306**RECEIVED****JUL 19 2000****FENWICK & WEST LLP****RECEIVED**  
**AUG 22 2000**  
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Date Mailed 07/12/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**Axel Schulte, Laguna Niguel, CA ;  
Schultze**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 05/04/2000

**Title**

Automatic lead distribution and processing confirmation system and method

**Preliminary Class**

707

Data entry by : JONES, ANGELONA

Team : OIPE

Date: 07/12/2000





**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

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 Washington, DC 20231



receipt  
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PTO/SB/21 (modified)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

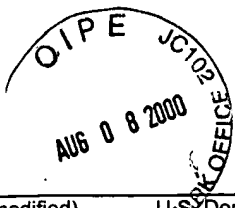
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/514,997
			Filing Date	February 25, 2000
			First Named Inventor	Axel Schultze
			Group Art Unit Number	2771
			Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		7	Attorney Docket Number	4705 US

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ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Checks Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copy of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Copy of Official Filing Receipt
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Copy of executed Declaration
<input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Copy of 1 <sup>st</sup> page of Specification as filed
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	John T. McNelis, Reg. No.: 37,186	Dated:	2 Aug 2000

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filed in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	John T. McNelis	Dated:	2 Aug 2000



0002/PTO(modified)  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

### TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$)0.00**

### Complete if Known

Application Number	09/514,997
Filing Date	February 25, 2000
First Named Inventor	Axel Schultze
Group Art Unit	2771
Examiner Name	Not Yet Assigned
Attorney Docket Number	4705 US

### METHOD OF PAYMENT

#### 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>1</sup>
- ☐ Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555  
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☐ Payment Enclosed:  
☒ Checks ☐ Other

### FEE CALCULATION (fees effective 11/12/98)

#### 1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$690	201/\$345	Utility Filing	<input type="checkbox"/>
106/\$310	206/\$155	Design Filing	<input type="checkbox"/>
108/\$690	208/\$345	Reissue	<input type="checkbox"/>
114/\$150	214/\$75	Provisional Filing	<input type="checkbox"/>

SUBTOTAL (1) **(\$)0.00**

#### 2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$78	202/\$39	Independent claims in excess of 3
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

#### 3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="checkbox"/>
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="checkbox"/>
115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	<input type="checkbox"/>
116/\$380	216/\$190	Extension for response within second month <sup>†</sup>	<input type="checkbox"/>
117/\$870	217/\$435	Extension for response within third month <sup>†</sup>	<input type="checkbox"/>
118/\$1,360	218/\$680	Extension for response within fourth month <sup>†</sup>	<input type="checkbox"/>
128/\$1,850	228/\$925	Extension for response within fifth month <sup>†</sup>	<input type="checkbox"/>
119/\$300	219/\$150	Notice of Appeal	<input type="checkbox"/>
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	<input type="checkbox"/>
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	<input type="checkbox"/>
143/\$430	243/\$215	Design Issue Fee	<input type="checkbox"/>
122/\$130	122/\$130	Petitions to the Commissioner	<input type="checkbox"/>
123/\$50	123/\$50	Petitions related to provisional applications	<input type="checkbox"/>
126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="checkbox"/>
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="checkbox"/>
146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="checkbox"/>
149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))	<input type="checkbox"/>

Other fee (specify): ☐

Other fee (specify): ☐

SUBTOTAL (3) **(\$)0.00**

(Col. 1)		(Col. 2)		(Col. 3)		Fee	Fee Due
For	No. of Existing Claims	Highest No. Previously Paid For		Extra**			
TOTAL		minus*	20 or 0	=	0	x	0
INDEP		minus*	3 or 0	=	0	x	0
[ ] First presentation of multiple dependent claim							

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$)0.00**

#### SUBMITTED BY

Typed or Printed Name **John T. McNellis, Esq.**

Signature

#### Complete (if applicable)

Reg. Number **37,186**

Date

**2 Aug 2000**

<sup>1</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby  
Rev. 11/04/99



Bib Data Sheet


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

**FILE COPY**

<b>SERIAL NUMBER</b> 09/514,997	<b>FILING DATE</b> 02/25/2000 <b>RULE</b> _	<b>CLASS</b> 707	<b>GROUP ART UNIT</b> 2771	<b>ATTORNEY DOCKET NO.</b> 4705US	
<b>APPLICANTS</b> Axel Schultze, Laguna Niguel, CA ; <b>** CONTINUING DATA *****</b> none RMB <b>** FOREIGN APPLICATIONS *****</b> none RMB <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 05/04/2000</b> _					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Acknowledged <i>Rebecca M. Bachman RMB</i> Allowance Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 10	<b>TOTAL CLAIMS</b> 8	<b>INDEPENDENT CLAIMS</b> 1
<b>ADDRESS</b> John T McNelis Esq. Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306					
<b>TITLE</b> Automatic lead distribution and processing confirmation system and method					
<b>FILING FEE RECEIVED</b> 820	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		



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PTO/SB/01 (6-95) (modified)  
Approved for use through 10/31/96 OMB 0651-0052  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>	0010/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	4705 US
			First Named Inventor	Axel Schultze
			COMPLETE IF KNOWN	
			Application Number	09/514,997
			Filing Date	February 25, 2000
			Group Art Unit	
			Examiner Name	
<input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing				

As a below named inventor, I hereby declare that:  
My residence, post office address, and citizenship are as stated below next to my name.  
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AUTOMATIC LEAD DISTRIBUTION AND  
PROCESSING CONFIRMATION SYSTEM AND METHOD**

the specification of which (Title of the Invention)

☐ is attached hereto  
OR  
☒ was filed on February 25, 2000 as United States Application Number 09/514,997.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.  
I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.

+



<b>DECLARATION</b>	Page 2
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I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Albert C. Smith Rajiv P. Patel	20,355 39,327	John T. McNelis	37,186

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

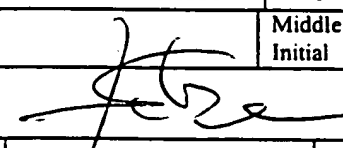
Please direct all correspondence to:

John T. McNelis  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
U.S.A.

Telephone	(650) 858-7133	Fax	(650) 494-1417
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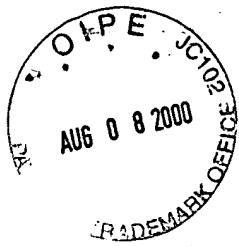
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:** ☐ A petition has been filed for this unsigned inventor

Given Name	Axel	Middle Initial		Family Name	Schultze	Suffix e.g. Jr.	
Inventor's Signature					Date	MAY-31-2000	
Residence: City	Laguna Niguel	State	CA	Country	USA	Citizenship	GERMANY
Mailing Address							
Mailing Address	30816 Belle Maison						
City	Laguna Niguel	State	CA	Zip	92677	Country	USA

☐ Additional inventors are being named on supplemental sheet(s) attached hereto





AUTOMATIC LEAD DISTRIBUTION AND PROCESSING CONFIRMATION  
SYSTEM AND METHOD

5 Inventor: Axel Schultze

**COPY**

BACKGROUND OF THE INVENTION

10 1. Field of the Invention

This invention relates to the field of lead distribution and processing and more particularly to automatic lead distribution and processing confirmation systems and methods in a computer  
15 based environment.

2. Description of Background Art

Many businesses spend significant resources advertising  
20 their wares and services in order to attract clients. Oftentimes the success rate of many promotion activities such as direct mailings or cold-calls is low and inefficient. These direct mass mailings are frequently sent to users who have no interest or need for the advertised product or service. For example, an  
25 advertisement for the latest computer software program will typically not be effective if sent to a person who does not own or use a computer.